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ATTORNEY DOCKET NO.: CUSTOMER NUMBER:

T00158-0019-US

000027871

## IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re patent application of:

HAAS, Hansjoerg

Serial No.:

10/735,866

Group Art Unit:

3637

Filed:

**December 16, 2003** 

Examiner:

Not Yet Assigned

Title:

ROBOTIC CAROUSEL WORKSTATION

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

## **INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty to disclose under 37 CFR §1.56, Applicant submits herewith a Form PTO/SB/08A listing references of which the Applicant is aware and which are brought to the attention of the Examiner. In accordance with 37 CFR §1.98(a)(2), a copy of each document listed in the enclosed Form PTO/SB/08A is submitted herewith excluding U.S. patent references.

U.S. patent references are not enclosed pursuant to the Office's waiver (published in the Official Gazette – August 5, 2003) of such requirement for all U.S. national patent applications and for all international applications that have entered the national stage under 35 USC 371 filed after June 30, 2003.

The filing of this IDS shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material for patentability, or that no other material information exists. This filing shall not be construed as an admission against interest in any matter.

This IDS is being submitted pursuant to 37 CFR 1.97(c) prior to the issuance of a final action or a Notice of Allowance. Accordingly, pursuant to 37 CFR 1.97(c)(2), please debit our Deposit Account Number <u>02-2553</u> in payment of the fee prescribed under 37 CFR 1.17(p). In the event of any discrepancy in the required fees, please debit or credit our Deposit Account Number <u>02-2553</u> accordingly and advise us immediately.

Applicant respectfully requests consideration of the items listed and requests the Examiner to return a copy of the attached Form PTO/SB/08A after being marked as being considered by the Examiner.

Date: 6 Cary C

Respectfully submitted,

John R.S. Orange Registration No. 29,725 Agent for Applicant

BLAKE, CASSELS & GRAYDON LLP Suite 4700, P.O. Box 48 Toronto Dominion Bank Tower Toronto, Ontario M5K 1E6, Canada

Tel 416-863-3164 Fax 416-863-2653

TRA	NSMITTA	L OF INFORMA (Under 37 CFR	f II I	cket No. 8-0019-US						
In Re A	In Re Application Of: HAAS, Hansiberg									
Applic	cation No.	Filing Date	TRADE MINER	Customer No.	Group Art Unit	Confirmation No.				
10/7	735,866	December 16, 2003	Not yet assigned	27871	3637	5460				
Title:	ROBOTIC	CAROUSEL WORKS	STATION							
			Address to: Commissioner for Patent P.O. Box 1450 Alexandria, VA 22313-145							
			37 CFR 1.97(b)							
1. 🛚	filing of a within thre internation	national application se months of the da aal application; before	tatement submitted herewith in other than a continued prosect ate of entry of the national state the mailing of a first Office A filling of a request for continue	cution applicati age as set for Action on the m	ion under 37 CF th in 37 CFR 1 erits, or before t	FR 1.53(d); .491 in an the mailing				
- 5			37 CFR 1.97(c)							
2.	CFR 1.97( Final Action	(b), provided that the on under 37 CFR 1	atement submitted herewith is to a linformation Disclosure Stater .113, a Notice of Allowance at the application, and is accomp	ment is filed be under 37 CFR	efore the mailing	g date of a				
	☐ the	statement specified i	n 37 CFR 1.97(e);							
		(	OR							
	☐ the fee set forth in 37 CFR 1.17(p).									

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1-37(bf) oc 1:97(c))						cket No. 8-0019-US				
In Re Application: HAAS, Hansjoerg										
Application No.	Filing Date	TRADES		Customer No.	Group Art Unit	Confirmation No.				
10/735,866	December 16, 2003	Not yet assign		27871	3637	5460				
ROBOTIC CAROUSEL WORKSTATION										
	(Only co	Payme	ent of Fee	ee set forth in 37 (	CFR 1.17(p))					
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	Signature			Signature of Per	rson Mailing Correspo	ondence				
Typed or	Printed Name of Person Sig	ning Certificate	Тур	oed or Printed Name	e of Person Mailing Certificate					
*This certificate may only be used if paying by    Dated: August 17, 2004										

PTO/SB/08a (05-03)

Approved for use through 05/31/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Substitute for form 1449A/PTO			Application Number	10/735,866	
,	NFORMATION DIS	2CI O	CUDE	Filing Date	December 16, 2003	
_	STATEMENT BY A			First Named Inventor	HAAS, Hansjoerg	
	DIAIEWENI DI A	MPLI	CANI	Art Unit	3637	
	(Use as many sheets a	s necessa	ary)	Examiner Name	Not Yet Assigned	
Sheet	1	of	2	Attorney Docket Number	T00158-0019-US	

Examiner Initials*	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		Number-Kind Code 2 (if known)			Figures Appear
		US 3,831,197 A	08-20-1974	BEACH, ET AL	
		US 4,846,619 A	07-11-1989	CRABTREE, ET AL	
		US 4,864,438 A	09-05-1989	MUNRO	
		US 5,277,534 A	01-11-1994	ANDERSON, ET AL.	
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		FORE	IGN PATENT DO	CUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т
		JP 55156107 A	12-04-1980	MASAO		Π
		WO 0216844 A	02-28-2002	FELDER, ET AL.		
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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 

Applicant's unique citation designation number (optional). 

See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3.). 

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols a indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08a (05-03)

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			<del>-</del>	Complete if Known		
Subs	titute for form 1449A/PTO			Application Number	10/735,866	
INFORMATION DISCLOSURE				Filing Date	December 16, 2003	
	ATEMENT BY AF	_	=	First Named Inventor	HAAS, Hansjoerg	
اد	AICIVICIVI DI AF	TLI	SANT	Art Unit	3637	
	(Use as many sheets as r	ecessa	ry)	Examiner Name	Not Yet Assigned	
Sheet	2	of	2	Attorney Docket Number	T00158-0019-US	

	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. 1	Include name of the author (in CAPITAL ETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>					
		LARSON, David D, et al. "STORAGETEK 4400 AUTOMATED CARTRIDGE SYSTEM." In Digest of Papers: Eighth IEEE Symposium on Mass Storage Systems, May 11, 1987, Pp. 112-117, XP000042645, paragraph "Library Storage Module", Figures 3-5.						
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Examiner		Date	
Signature		Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.